

Status of Complaints

Data for Month Ending June 2024

Sr.No.	Received from	Pending at the end of Last Month	Received	Resolved	Total Pending	Pending Complainants > 3 months	Average Resolution time (days)
1	Directly From Investors	0	0	0	0	0	NA
2	SEBI Scores	0	0	0	0	0	NA
3	Other Sources (if any)	0	0	0	0	0	NA
	Grand Total	0	0	0	0	0	NA

^ Average Resolution time is the sum total of time taken to resolve each compliant in days, the current month divided by the total number of compliants resolved in the current month

Trend of Monthly Disposal of Complainants

Sr. No.	Month	Carried Forward from the Previous Month	Received	Resolved	Pending
1	Apr-22	0	0	0	0
2	May-22	0	0	0	0
3	Jun-22	0	0	0	0
4	Jul-22	0	0	0	0
5	Aug-22	0	0	0	0
6	Sep-22	0	0	0	0
7	Oct-22	0	0	0	0
8	Nov-22	0	0	0	0
9	Dec-22	0	0	0	0
10	Jan-23	0	0	0	0
11	Feb-23	0	0	0	0
12	Mar-23	0	0	0	0
13	Apr-23	0	0	0	0
14	May-23	0	0	0	0
15	Jun-23	0	0	0	0
16	Jul-23	0	0	0	0
17	Aug-23	0	0	0	0
18	Sep-23	0	0	0	0
19	Oct-23	0	0	0	0
20	Nov-23	0	0	0	0
21	Dec-23	0	0	0	0
22	Jan-24	0	0	0	0
23	Feb-24	0	0	0	0
24	Mar-24	0	0	0	0
25	Apr-24	0	0	0	0
26	May-24	0	0	0	0
27	Jun-24	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of Complainants of Previous months resolved in the current month

Inclusive of Complainants pending as on the last day of the year

Disclosure with respect to Annual Compliance Audit status for the previous and current financial years are as follows:

Regulation 19(3) of SEBI (Investment Advisers) Regulations, 2013 and BASL Circular No.20230504-1

Sr. No.	Financial Year	Compliance Audit Status	Remarks, If any
1	FY 2020-21	Conducted	NA
2	FY 2021-22	Conducted	NA
3	FY 2022-23	Conducted	NA

